

16085
U.S. PTO

Honorable Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Cust	mer Numb r:	27201
Docket N .: _	AWK 03-055	
Date:	F bruary 5, 20	04

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of Inventor(s):

ANAGHA RAJPURKAR ALMA NAVARRO DE JESUS DONALD RALPH HART

Note: A patent must be applied for in the name(s) of all of the actual inventor(s). 37 CFR 1.41

For:

METHOD FOR REDUCING DATABASE RECOVERY TIME

Fnc	closed	l are:
	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	ı aıc.

The Papers Required for Filing Date Under 37 CFR 1.53(b):				
40	Pages of specification			
1	Pages of Abstract			
13	Pages of claims			
6	Sheets of drawings			
	X formal			
	informal			
In addition	on to the above papers, there is also attached: 1) Non-Publication Request; 2) Information Disclosure Statement			
	Pages of an amendment			

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on this date, <u>February 5, 2004</u>, in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number <u>EL070485853US</u> addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

> Carol A. Wasserman (Type or print name of person mailing paper)

(Signature of person mailing paper

Each paper or fee referred to as enclosed herein has the number of the "Express Mail" mailing label placed thereon prior NOTE: mailing. 37 CFR 1.10(b).

Decia	atin r	atn	
X		Enclosed	·
		X	original
		executed by	(check all applicable boxes)
		<u>X</u>	inventors(s)
			legal representative of inventor(s) 37 CFR 1.42 or 1.43
			joint inventor or person showing a proprietary interest on behalf of inventor who refused to sign or cannot be reached.
			This is the petition required by 37 CFR 1.47 and the statement required by 37 CFR 1.47 also attached. See item 7 below for fee.
	_ Not en	closed	
NOTE:		the filing is a c tion must be filed	ompletion in the U.S. of an international application under 35 U.S.C. 371(c)(4), the ${\rm d}$.
	_	of the above	s made by a person authorized under 37 CFR 1.41(c) on behalf of all -named inventors(s). The declaration or oath, along with the surcharge 37 CFR 1.16(e) can be filed subsequently.
NOTE:	It is imp	ortant that all the	e correct inventors(s) are named for filing under CFR 1.41(c) and 1.53(b).
	_	Showing tha 37 CFR 1.14	t the filing is authorized. (Not required unless called into question. I1(d).
Langu	age		
X		English	
		non-English	
NOTE: CFR 1.52(d)	English 1.17(K)	translation of th	a signed oath or declaration may be filed in a language other than English. A verified ne non-English language application and the processing fee of \$20.00 required by 37 e filed with the application or within such time as may be set by the Office. 37 CFR
NOTE:	A non-l		declaration in the form provided or approved by the PTO need not be translated.
		A verified Er	nglish translation of the specification is attached.
Assig	nment		
<u> x</u>	_	An assignme	ent of the invention to <u>UNISYS CORPORATION</u>
Certifi	ed Copy	,	
		A certified co	opy of application(s) from which priority is claimed.
NOTE:	Must be	referred to in oa	ath or declaration. 37CFR1.55 and 1.63.

Fe Calculation

CLAIMS AS FILED

Number Filed	Number Extra	Rate	Basic Fee \$770.00				
Total Claims -1820=	-0- X	\$ 18.00	-0-				
Independent Claims -63=	-3- X	\$ 86.00	\$258.00				
Multiple dependent claim(s) if any -0-	-0- X	\$290.00	-0-				
	Amendment canceling extra Amendment deleting multiple Fee for extra claims not being	dependencies enclosed					
to the exp deficiency.		filing, they must be paid or the clain ponse by the Patent and Tradem					
Filing	Fee Calculation		\$ <u>1,028.00</u>				
7. Small Entity St	Small Entity Statement						
	verified statement that this is attached.	a filing by a small entity under 3	37 CFR 1.9 and 1.27 is				
	Filing Fee Calculation	on (50% of above)	\$				
8. Fee Payment E	Fee Payment Being Made At This Time						
	Not Enclosed						
	No filing fe	e is to be paid at this time. This	and the surcharge required				
	by 37 CFR 1.6(e) can be paid subsequently.						
NOTE: Where	Where the filing is completion in the U.S. of an international application, the fee must be paid.						
X	Enclosed						
	X basic filing	fee	\$ <u>1,028.00</u>				
	X recording a	ssignment	\$ <u>40.00</u>				
	(40.00 37C	FR 1.21(h)(j)					

petition fee for filing by other than all the inventors or person on behalf

of the inventor where inventor refused to sign

or cannot be reached. (\$130.00 37 CFR 1.47 and 1.17(h)

				for processing an	application with			
				a specification in	a non-English language			
				(\$20.00; 37 CFR	1.53(d) and 1.17(k)	\$		
				processing and re	etention fee			
				\$100.00; 37 CFR	1.21(I)	\$		
	NOTE:	complete indicate	e the application that in order to	pursuant to 37 CFR 1.53 o obtain the benefit of	nd retaining any application who (d) and this, as well as the char a prior U.S. application, eithe year from notification under § 5	nges to 37 CFR 1.53 and 1.78 or the basic filing fee or the		
				Total fees enclose	ed	\$ <u>1,068.00</u>		
9.	Method	d of Payn	nent of Fees					
		-		amount of \$				
	X	_			- e amount of \$ <u>1,068.00</u> . A (duplicate of this transmittal		
is		_	_	ched.	<u> </u>			
	NOTE:	Fees sh			s clear for which purpose the fe	es are paid. 37 CFR 1.22(b)		
40								
10.		Authorization to Charge Additional Fees						
		NOTE: If no fees are to be paid on filing, the following items should not be completed.						
			s are to be checked, the discrete the charge of the charge	are to be checked, then accurately count claims, especially multiple dependent inexpected high charges.				
	X_		The Commiss	sioner is hereby author	rized to charge the following	additional fees which		
			may be requi	re to Account No. 19-3	790 .			
			<u> </u>	37 CFR 1.16 (filin	g fees and presentation of e	extra claims)		
				37 CFR 1.17 (app	olication processing fees)			
				37 CFR 1.18 (issu	ue fee at or before Mailing o	f Notice of Allowance,		
				pursuant to 37 CF	FR 1.31(b).			
	NOTE: the		1.28(b) requires onprior to pay		ge in loss of entitlement to sma	Il entity status must be filed in		
11.	Instructions As To Overpayment							
	X_	_	credit Accour	nt No. <u>19-3790</u>				
		_	refund					
					Signature of Attorn	W. Vozak		
Reg. N	No. 24,265				Alfred W. Kozak (Type or print nam	e of attorney)		
). (858) 45					•		
(0	r) (949) 38	50-5822			Unisys Corporation 10850 Via Fronter San Diego, CA 92	a, MS 1000		

(Application Transmittal-page 4 of 4)

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)

First I	Named Inventor	RAJPURKAR, ANAGHA,	et	al.
Title	Title METHOD FOR REDUCING DATABASE RECOVERY TIME			
Attorn	ey Docket Numbe	. AWK03-053		

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

2/5/04 Olfred W. Kozak
Date Signature

(858) 451–4615
or (949) 380–5822

ALFRED W. KOZAK

Telephone number

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

This collection of information is required by 37 CFR 1.213(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.